

Effective Control of HIV-1 Disease Progression: Impact on Serum Concentration of β_2 -Microglobulin

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Abstract: Progressive defects in cell mediated immune function, are usual features of HIV-1 disease progression. Lack of HIV disease progression can be independently achieved by the host's effective immune responses and highly active antiretroviral therapy. Serum β_2 -microglobulin (β_2 M) concentration is a known correlate of HIV disease progression. We thus determined whether different patterns of serum concentrations of β_2 M characterize immunological and pharmacological controls of HIV-1 disease progression in asymptomatic subjects and compared the potentials of the two conditions in restoring the abnormal concentration of serum β_2 M consequent to HIV-1 infection. Although different patterns of β_2 M characterized the two conditions, both tend to restore the abnormal serum concentration of β_2 M consequent to HIV-1 infection. Increased renal excretion of β_2 M was associated with HAART and may be a possible mechanism by which antiretroviral therapy reduces serum concentration of β_2 M. No significant association was found between the blood CD4 T-cell count and serum β_2 M concentration in subjects with CD4 T-cell $>500/\mu\text{l}$ in asymptomatic HIV-1 infection. We also discovered additive effect of HAART/infection duration and clinical status on serum β_2 M concentration. Serum concentration of β_2 M may not be a useful marker of immune status in HIV-infected subjects with blood CD4 T-cell $>500/\mu\text{l}$. We conclude that pharmacological control of HIV-1 disease progression had a higher potential than the host immunological response in restoring the impairments in serum concentration of β_2 M consequent to HIV infection.

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1. Introduction

β_2 -microglobulin (β_2 M) is a ubiquitously expressed 12-kDa glycoprotein chaperone of the class I major histocompatibility complex (MHC I) molecule. Although, β_2 M is a non-MHC gene product, it associates and interacts non-covalently with the α_3 domain thereby performing a vital role in stabilization and presentation of proteasome-generated peptide antigens to cytotoxic T lymphocytes (Salter *et al.*, 1990; Abbas and Lichman, 2005). Free β_2 M molecules are also detectable in the plasma as products of immune cells turnover. Increased serum concentration of β_2 M has also been associated with abnormal renal functions as kidneys are the main site of clearance (Ando *et al.*, 2011). As a non-specific marker of immune activation, its serum concentration is a correlate of human immunodeficiency virus type-1 (HIV-1) disease progression to acquired immune deficiency syndrome (AIDS) (Gupta *et al.*, 2004; Chitra *et al.*, 2011).

A progressive increase in HIV-1 viral load and defects in cell-mediated immune functions are usual features of HIV-1 disease progression. Host effective cell-mediated immune machinery is known to stem

the tide of HIV disease (Dyer *et al.*, 2008). Furthermore, controls over progression in a subset of HIV-1-infected individuals, who have never been on antiretroviral treatment have been reported elsewhere (Rosenberg *et al.*, 1997; Williams and Burdo, 2009). This subset can be either long-term non-progressors or elite controllers and they have been reported to exhibit low viraemia and elevated clusters of CD4 T-cell count (Poropatich and Sullivan, 2011). Antiretroviral chemotherapies also decrease AIDS related mortality even though immune restoration following the treatment may not be complete. However, It is usually enough to provide protection from most opportunistic infections (Lederman and Valdez, 2000; Arminio *et al.*, 2005; Hanna *et al.*, 2009). These reports show that lack of HIV disease progression could be independently achieved under the host's potent immune responses and chemotherapy. In this study, we determined whether different patterns of serum concentration of β_2 M characterize immunological and pharmacological controls of disease progression in asymptomatic subjects and compared the potentials of these two conditions in restoring the abnormal

concentration of serum β_2 M consequent to HIV-1 infection.

2. Material and Methods

2.1 Selection of Subjects

Sixty-two (62) HIV-1-infected subjects attending Living Hope Care (LIHOC), Ilesa, Nigeria and ten healthy HIV-1 sero-negative volunteers were recruited within the vicinity of the centre for this study as controls. LIHOC is a Non-Governmental Organization, providing care for people living with HIV/AIDS. Information on demographic characteristics and antiretroviral therapy records was collected. Thirty-three of subjects had been receiving HAART [Lamivudine (300 mg/day), Stavudine (60 mg/day) and Nevirapine (400 mg/day)] while the remaining twenty-nine subjects had not received any antiretroviral therapy as at the time of enrolment. Volunteers with conditions that could affect evaluated parameters, such as pregnancy tuberculosis infection were excluded from the study. All subjects had no evidence of renal impairment. Informed consent was obtained from all volunteers before initiation of the study. The LIHOC Ethical Committee of approved the study.

2.2 Specimens Collection and Preservation

Blood sampling was done by venepuncture while urine specimens were collected using appropriate standard methods. Specimens were transported under ice-cold condition to the laboratory within six hours. Urine samples was immediately stored at -20°C . Serum was separated from whole blood by centrifugation at $1000 \times g$ for ten minutes and stored in aliquots at -20°C until analyzed. Peripheral blood mononuclear cells (PBMCs) were isolated from ACD-anticoagulated whole blood using Ficoll-Hypaque density gradient centrifugation. Briefly, 5.0 ml whole blood layered on 3.0 ml lymphocyte separation medium, (Density 1.077 g/ml, Cambrex Bio Science, USA) and were centrifuged at $500 \times g$ for 15 minutes. PBMCs layer was separated and washed twice in phosphate buffer saline, pH 7.2. The cells were counted, pelleted and re-suspended in appropriate volume of 0.1% triton X-100 [polyethylene glycol p-(1,1,3,3-tetramethylbutyl)-phenyl ether] in phosphate buffer saline to make 10^6 cells/ml. The mixture was incubated for 30 minutes at room temperature and later stored at -20°C until when analyzed. Analyses on both test subject and control samples were carried out concurrently.

2.3 Diagnosis of HIV Infection

The diagnosis of HIV-1 infection was performed by enzyme link immunosorbence assay (ELISA) and confirmation was done by HIV Western Blot using

Immunetics Qualicode HIV-1/2 Kit (USA). Subjects with indeterminate results were excluded from the study. Control subjects were also confirmed to be negative.

2.4 Enumeration of Blood CD4 T-cell

EDTA-anticoagulated blood CD4 T-cell was enumerated using Cyflow Cytometer according to the manufacturer's instructions (Partec, Germany).

2.5 β_2 -Microglobulin Determination

The concentration of β_2 M in the sera of HIV-1-infected on HAART and naïve to HAART as well as uninfected control subjects were determined by a conventional capture ELISA method (Diametra srl, Italy). β_2 M standards provided were by the manufacturer and determination was carried out according to manufacturer's instructions.

2.6 Statistical Analysis

Descriptive analysis, and student t-test was used for the comparisons of data. Spearman correlation was use to test association between variables using GraphPad 5 software (San Diego, CA). p-values <0.05 were considered significant.

3. Results

3.1 Study Subjects

HIV-1-infected subjects were recruited into this study to investigate and compare the impact of immunological and pharmacological control of disease progression on serum concentration of β_2 M in HIV-1 infected Nigerians. All HIV-1-infected subjects were asymptomatic and had CD4 T-cell counts >200 cells/ μl . There was no history of AIDS diagnosis including a CD4 T-cell count <200 cells/ μl , or self-reported occurrence of any AIDS-defining illness, or AIDS diagnosis in HAART naïve subjects. HIV-infected subjects under HAART had a median (quartiles) CD4 T-cell count of 419 (271, 600)/ μl which was not significantly different from 441 (319, 511)/ μl in HIV-infected subjects naive to HAART (Table 1).

3.2 Comparison of the Serum Concentrations of β_2 -Microglobulin in HIV-1-infected Subjects Naïve to HAART (HAART-) and those under HAART (HAART+)

The serum concentrations of β_2 M in group in which control of HIV disease progression was achieved in the absence of treatment (HAART-) and the group in which the control was achieved by HAART (HAART+) were compared with uninfected control. The plot representing this relationship is shown in Figure 1. The serum median (quartiles) β_2 M

Table 1. Characteristics of Study Subjects

CHARACTERISTICS	HIV-	HAART-	HAART+	p-Value
N	10	31	31	
Age (year)	37 (35, 45)	35 (34, 49)	35 (32, 47)	0.262
Mid Arm Circumference (cm)	27 (24, 29)	27 (25, 30)	26 (24, 31)	0.405
Body Mass Index (Kgm ⁻²)	24 (22, 25)	24 (21, 26)	25 (22, 27)	0.505
Sex (M/F)	3/7	7/24	8/23	0.605
Infection Duration (months)	NA	12 (4, 44)	16 (10, 55)	0.236
HAART Duration (months)	NA	0	14 (5, 48)	NA
CD4 count (/μl)	734(634, 825)	441 (319, 511)	410 (300, 600)	0.527

Values are median (25th and 75th percentile). p-values were determined by Student's 't' test and Fisher's exact test, as appropriate to compare HAART- and HAART+, p <0.05 was considered significantly different. NA = Not applicable.

in HAART- and HAART+ groups were respectively 3.60 (2.50, 5.90) μg/ml and 2.90 (2.10, 4.50) μg/ml. This was respectively significantly higher than the concentration of 1.5 (0.80, 3.60) μg/ml in uninfected controls. (p=0.0004 and p=0.005; respectively (Figure 1A). However, the difference between HAART- and HAART+ was not significant (p=0.057). We observed inverse correlation between β₂M and blood CD4 T cell count (Figure 1B, upper panel) and Infection/HAART duration (Figure 1B lower panel).

3.3 Comparison of the Serum Concentrations of β₂-Microglobulin in HAART- and HAART+ at Different HIV Clinical Status

To investigate whether clinical status will affect the pattern of serum concentration of β₂M, we classified the HIV-infected subjects according to HIV clinical status (CD4 T-cell count <500 or >500/μl). In subjects in whom control of HIV disease progression was achieved in the absence of HAART (HAART-), subjects with CD4 T-cell count <500/μl, exhibited a higher serum concentration of β₂M compared to subjects with a CD4 T- cells count >500/μl, although not statistically significant (p=0.259). Similarly, under HAART, subjects with >500 CD4 T-cell/μl, exhibited a lower serum concentration of β₂M compared to subjects with a CD4 T-cells <500/μl, although not statistically significant (p=0.089) [Figure 2A]. We observed a significant inverse correlation between blood CD4 T-cell count and serum concentrations of β₂M in subjects with CD4 T-cell count <500/μl in both HAART- and HAART+ (R=-0.478, p=0.033; R=-0.473, p=0.041; respectively) [Figure 2B, upper panel] while no correlation was found in subjects with CD4 T-cell count >500/μl (Figure 2B lower panel).

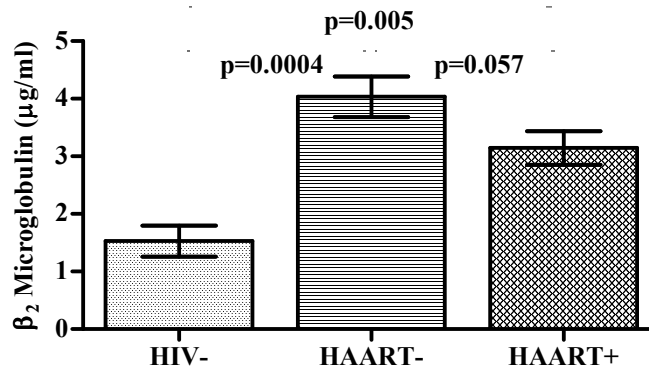
3.4 Comparison of Serum β₂-Microglobulin Concentrations in Acute and Chronic Infection/HAART Durations

To investigate the effect of infection and treatment durations on serum β₂M concentration, we classified HIV-infected subjects according to infection/HAART durations. Infection/HAART durations <12 or >36 months were grouped as acute and chronic; respectively. The plot representing this relationship is shown in Figure 3. In asymptomatic subjects naïve to treatment (HAART-), subjects under acute infection duration had statistically similar serum β₂M concentration as those with chronic asymptomatic infection (p=0.185). Similar trend in group undergoing HAART (HAART+) was evident (p=0.099) [Figure 3A]. We observed no significant association between serum β₂M concentration and HAART/infection durations (Figure 3B).

3.5 Dynamics of β₂-Microglobulin under Effective Control of HIV-1 Disease Progression

To propose a possible mechanism underlying the behaviour of β₂-microglobulin under effective control of disease progression, cellular, serum and urinary concentrations of β₂M were compared in urine. The results showed a significant higher cellular expression of β₂M in HIV-1 infected group when compared with HIV uninfected controls (p <0.05) and essentially the same in subject under HAART and those naïve to HAART (p >0.05). However, urinary excretion of β₂-microglobulin was found to be significantly higher under HAART (p <0.05). This translates to significantly lower serum concentration of β₂M under effective HAART compared with subjects naïve to HAART (Table 2).

A



B

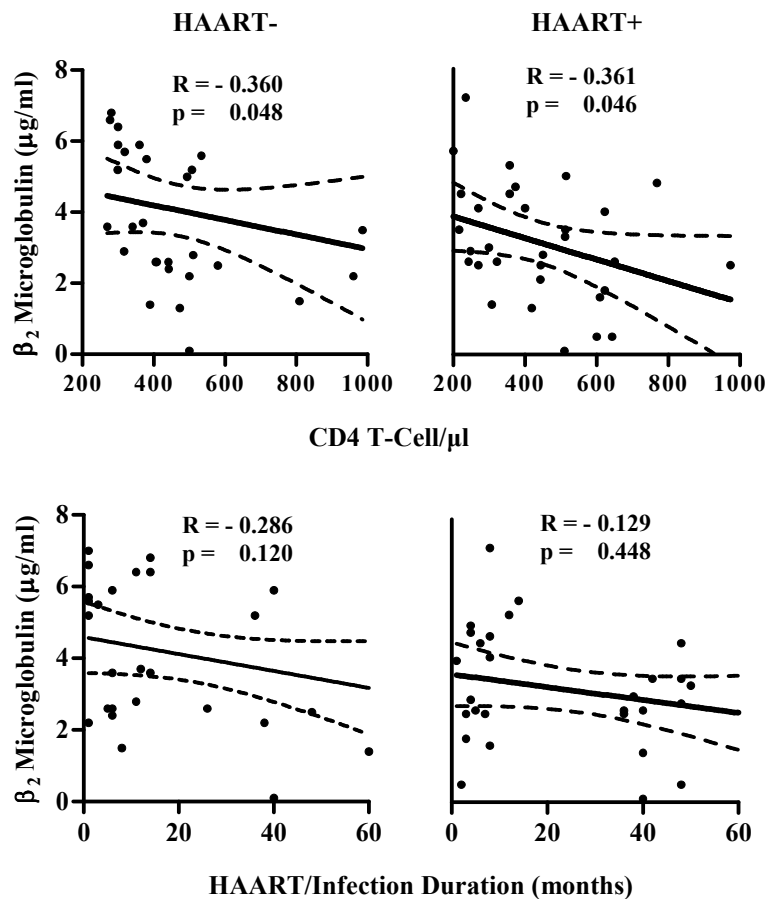


Figure 1. Serum β_2 -Microglobulin concentration in HIV-1-infected subjects naïve to HAART (HAART-) and in Subjects under HAART (HAART+) (A): Comparison of serum concentration of β_2 M in HAART- (n=31) and HAART+ (n=31) with uninfected control subject (n=10). Each bar-and-error bar plot represents the mean and standard error of serum concentration of β_2 M within each group. An unpaired Student 't' test was used to compare the serum concentration of β_2 M in HAART- and HAART+, and also with the value in uninfected control. (B): Association between serum concentration of β_2 M and blood CD4 T cell (upper panel) and Infection/HAART duration (lower panel). Regression line and Spearman R-value are shown for correlations. The dotted lines are 95% confidence band and p-value <0.05 were considered significant.

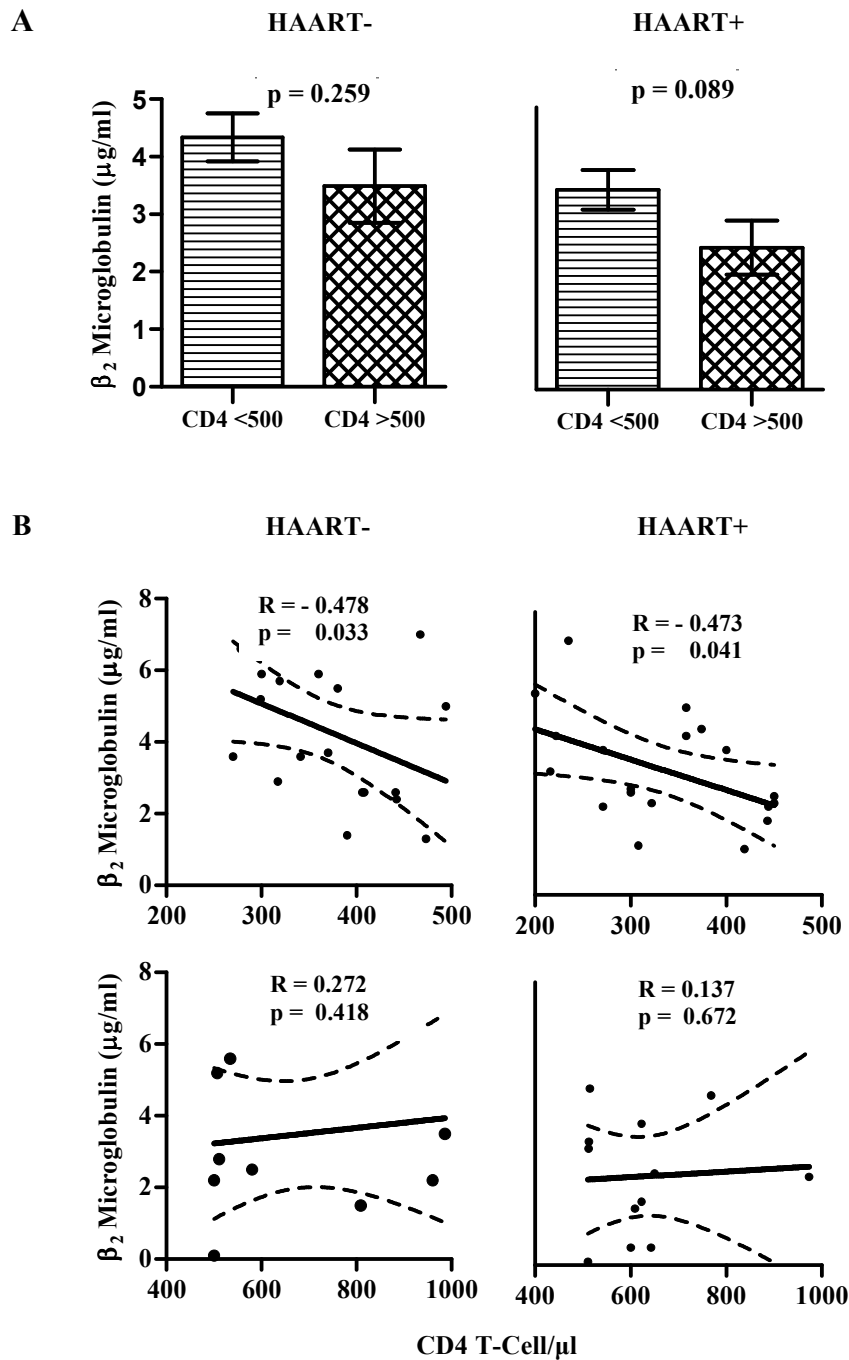


Figure 2. Effects of clinical status on serum β_2 M under effective control of HIV-1 disease progression. (A): Comparison of serum concentration of β_2 M in subjects naive to HAART having blood CD4 T cells count <500 (n=20) or >500/ μl (n=11)(left) [HAART-]. Also in subjects under HAART, having blood CD4 T cells count <500(n=19) or >500/ μl (n=12)(right) [HAART+]. Each bar-and-error bar plot represents the mean and standard error of serum concentration of β_2 M within each group. An unpaired Student ‘t’ test was used to compare the serum concentration of β_2 M in subjects with CD4<500 and those with CD4 >500/ μl . (B): Association between serum concentration of β_2 M and blood CD4 T-cell in subjects with CD4 T-cell <500/ μl (upper panel) or >500/ μl (lower panel). Regression line and Spearman R-value is shown for correlations and p-value <0.05 were considered significant.

Table 2. Comparison of Cellular, Serum and Urinary Concentration of β_2 -Microglobulin under Effective Control of HIV-1 Disease Progression

β_2 -M	HIV-	HAART-	HAART+
N	5	8	10
ng/10 ⁶ PBMCs	1.5±0.3 ^a	3.3±1.1 ^b	2.6±1.0 ^b
µg/ml Serum	1.6±0.5 ^a	4.5±1.5 ^b	2.1±1.0 ^c
µg/24-Hr Urine)	86±19 ^a	131±66 ^b	239±76 ^c

The data are the mean±SD. p-values were determined by Student's t test and data with different superscript along the rows are significantly different (p <0.05). PBMCs = Peripheral Blood Mononuclear Cells.

3.6 Additive Effect of Clinical Status and Infection/HAART Durations on Serum β_2 -Microglobulin Concentration under Effective Control of HIV-1 Disease Progression

The additive effect of clinical status and infection/HAART durations on serum β_2 -microglobulin concentration was investigated by comparing serum β_2 -microglobulin concentration in subjects having a blood CD4 T-cell count <500/µl under acute infection/HAART and those with blood CD4 T-cell count >500/µl under chronic infection/HAART. Although, the difference was not statistically significant (p=0.061 and p=0.056; respectively), evidence of additive effect was apparent (Figure 4).

4. Discussions

Highly active antiretroviral therapy (HAART) has been shown to be efficient in the treatment of human immunodeficiency virus infection. Depending on the composite, it interferes at specific points in the replication cycle of the viral RNA. Previous studies have shown that control of HIV disease progression could be achieved independently by HAART and the host potent HIV specific immunological responses in individuals not undergoing HAART. Asymptomatic HIV-1 infected subjects have a well preserved immune system similar to that of uninfected control (Resino *et al.*, 2003) and sustained immunological suppression of viraemia is associated with preserved p24 proliferate responses, regardless of the strength of the cytotoxic T lymphocyte responses (Dyer *et al.*, 2008). These studies suggested that control of disease progression in subjects undergoing HAART and HAART naïve subjects is associated with vigorous immune functions.

β_2 -microglobulin (β_2 M), an invariant chain of the MHC class I molecule, is an 11.8 kDa, non glycosylated protein, composed of 100 amino acid residues. Free β_2 M is found in body fluids under physiological conditions because of its shedding from surface or intracellular release. The reference range is

0.8-3.6 µg/ml. β_2 M concentration is not only a surrogate for viral or tumour burden or by-product of immune activation, but at high serum concentration (>10 µg/ml) may have negative effect on immune function (Franzetti *et al.*, 1988; Xie *et al.*, 2003). We hypothesized that similar pattern of serum β_2 M would characterize immunological and pharmacological controls of disease progression. Thus, the present study was designed to compare the potential of the two conditions in restoring the impaired levels in serum β_2 M consequent to HIV-1 infection in cohorts of subjects with comparable clinical status.

Our results also showed that serum β_2 M concentration in HIV-1 infection was significantly higher compared to uninfected subjects (p=0004). However, the level was not high enough on its own to warrant an adverse effect on immune function in our study. This is consistent with previous findings (Thakar *et al.*, 1992, Xie *et al.*, 2003, Pascale *et al.*, 1997). As a non-specific marker of immune activation, β_2 M has been employed as a marker for cancers, especially lymphoid malignancies, such as non-Hodgkin's lymphoma and multiple myeloma. Consistent with the observation that the major source of serum β_2 M is lymphatic tissue in normal subjects, it may occur as a result of immune stimulation, such as in other acute viral infections (Bethea and Forman, 1990). During the continuous turnover of the human leucocyte antigen (HLA) molecules, β_2 M is shed from the cell membrane into blood and lymphocytes are the main sources of serum free β_2 M. Our data support the general observation that serum β_2 M concentrations are elevated in patients with HIV infection.

It was found that the both immunological and pharmacological controls of HIV disease progression tend to restore the abnormalities is serum levels of β_2 M consequent to HIV infection. On the basis of clinical status, HAART treatment resulted in decrease in serum β_2 M concentration in subjects with CD4 T cell count >500/µl compared to subjects with CD4 T cell count <500/µl, although, not significant (p=0.259); whereas, the changes in HAART naïve subjects were not significant (p=0.089). This shows that pharmacological control of disease progression has a higher potential than the host immunological response in restoring the impairments in serum concentration of β_2 M consequent to HIV infection. Viral replication interferes with normal cellular protein syntheses and functions and ultimately death of infected cells. The process may contribute to increased turnover of membrane β_2 M.

One of the immunological responses to viral infection is increased expression of class I MHC molecule and other proteins involved in the antigen processing and presentation. Consequently, increase

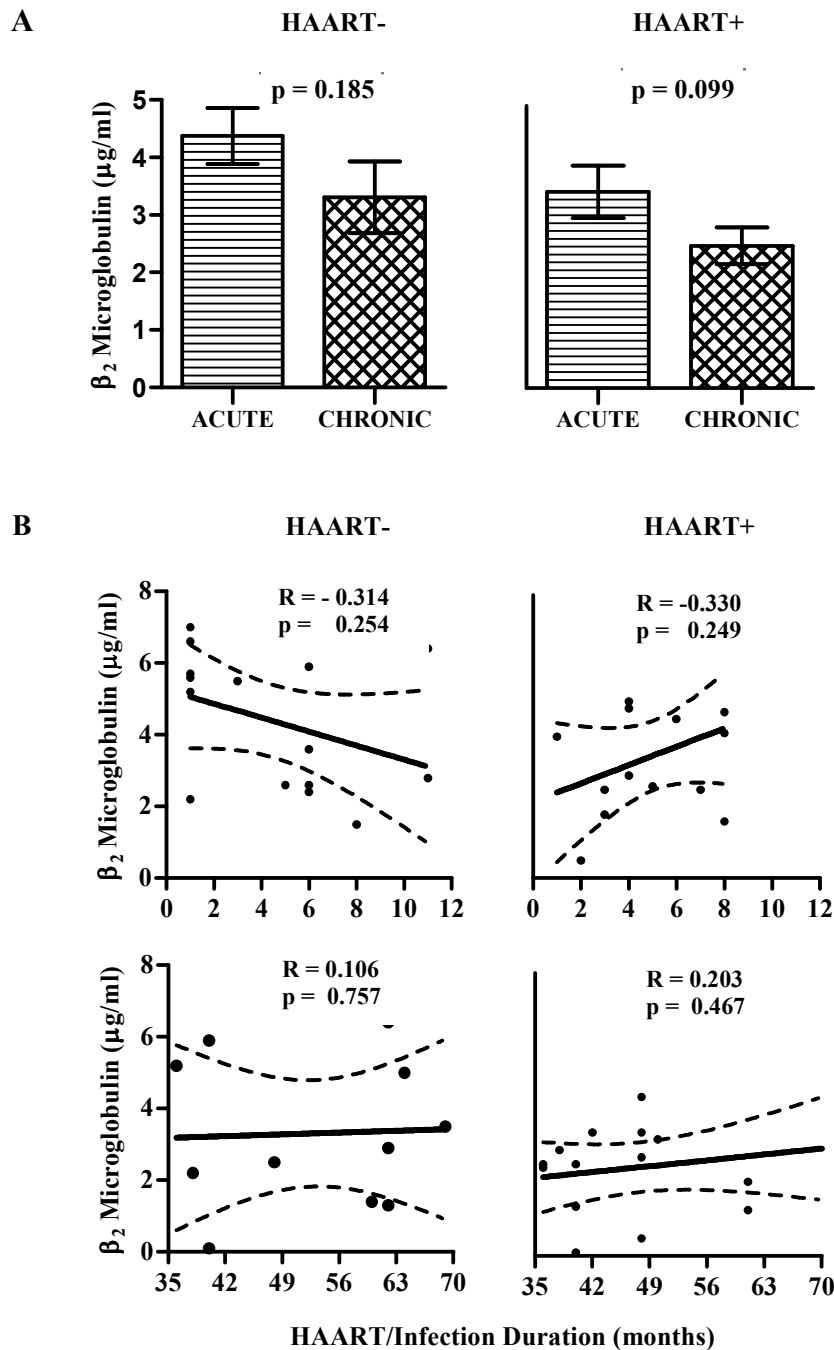


Figure 3. Effects of infection/HAART duration on serum β_2 M concentration under effective control of HIV disease progression. (A) Comparison of serum β_2 M concentration in subjects under acute (<12 months, n=15) or chronic (>36 months, n=11) infection [left panel]. Also in subjects under acute (<12 months, n=14) or chronic (>36 months, n=15) HAART [right panel]. Each bar-and-error bar plot represents the mean and standard error of serum concentration of β_2 M within each group. An unpaired Student ‘t’ test was used to compare the serum concentration of β_2 M in acute and chronic HAART/infection. (B): Association between serum concentration of β_2 M and acute HAART/infection duration (upper panel) or chronic HAART/infection duration (lower panel). Regression line and Spearman R-value are shown for correlations. The dotted lines are 95% confidence band and p-value <0.05 were considered significant.

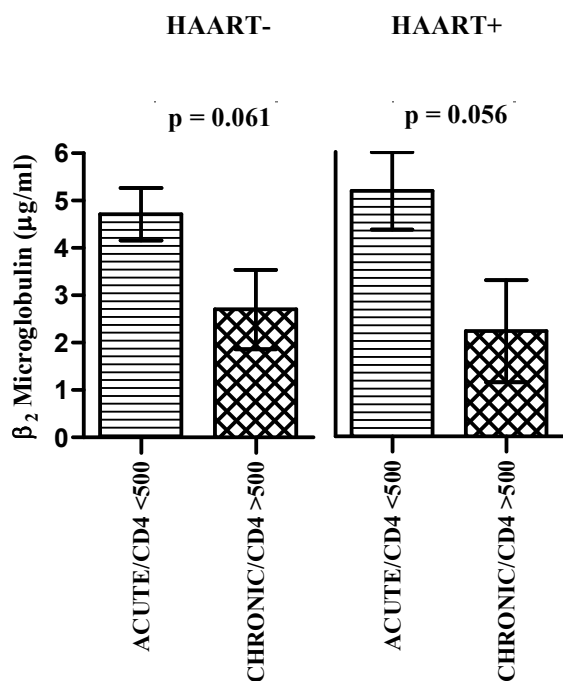


Figure 4. Comparison of serum β_2 M concentration in subjects under acute infection duration having a CD4 T-cell $<500/\mu\text{l}$ ($n=10$) and in subjects under chronic infection duration having a CD4 T-cell $>500/\mu\text{l}$ ($n=5$) [left]. Also in subjects under acute HAART duration having a CD4 T-cell $<500/\mu\text{l}$ ($n=6$) and in subjects under chronic HAART duration having a CD4 T-cell $>500/\mu\text{l}$ ($n=4$) [right]. Each bar-and-error bar plot represents the mean and standard error of serum concentration of β_2 M within each group. An unpaired Student 't' test was used for the comparison and p-value <0.05 were considered significant.

in serum concentration of β_2 M may also represent an early immunological response to viral infection. HAART has synergistic inhibitory effects on viral replication. The two components of HAART in our study were Lamivudine and Stavudine (NRTIs) and the third Nevirapine (NNRTIs). They competitively and non-competitively inhibit respectively the viral enzyme-reverse transcriptase. Lu and Andrieu (2000) demonstrated that $\text{CD}3^+\text{CD}4^+$ and $\text{CD}3^+\text{CD}8^+$ T-lymphocyte compartments were both involved in immunologic recovery in naive as well as in treated subjects and were found to correlate with the decline in serum β_2 M concentration. The decline in serum β_2 M concentration could simply be the result of the

effective viral replication control corresponding to a decrease in viral antigen stimulation under effective control of disease progression.

Interferons (IFNs) have the ability to enhance antigen-processing, expression of class I histocompatibility and substrate specificity of proteasome. Thus, IFNs may be involved in stimulating expression and release of β_2 M. However, Decreases in IFN-gamma mRNA level in peripheral blood mononuclear cells are associated with effective highly active antiretroviral therapy in HIV-infected patients (Brazille *et al.*, 2003). This could be a possible mechanism by which HAART affect the serum concentration of β_2 M. However, decreases serum β_2 M concentration may be observed both in the presence and in absence of any modification of plasma viral load (Andrieu *et al.*, 1995; Lu and Andrieu, 2000).

We further showed that HAART increases renal excretion of β_2 M. Increased urinary β_2 M concentration could result from increased glomerular filtration or reduced tubular re-absorption. Tomlinson (1992) showed that increased urinary excretion of β_2 M is associated with tubular disease. Recent study by Ando *et al.* (2011) also showed that 25% of HIV-infected subjects receiving HAART had subclinical tubular damage. It is interesting to note that none of the subjects in our present study exhibited evidence of impaired glomerular and tubular functions (assessed by absence of glucosuria and proteinuria; respectively). We therefore suggest that increased the renal excretion of β_2 M could be a possible mechanism by which HAART decreases serum concentration in HIV-1 infection.

Our study is the first to compare the impact of pharmacological and host's immunological control of HIV-1-disease progression on serum concentration of β_2 M and to report the absence of correlation between blood CD4 T cell count and serum concentration of β_2 M in asymptomatic HIV-1- infected subjects with CD4 T-cell count $>500/\mu\text{l}$. This questions the usefulness of serum concentration of β_2 M as a biomarker of HIV disease activities in a group of asymptomatic subjects having a CD4 T-cell count $>500/\mu\text{l}$.

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